

B 2100A (Form 2100A) (12/15)



UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-3555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Southey Capital Ltd

Name of Transferee

Name and Address where notices to transferee should be sent:
Attn: Robert Southey
Email: rs@southeycapital.com

Phone: +44 203 745 7940

Last Four Digits of Acct #:

Name and Address where transferee payments should be sent (if different from above):

Phone:
Last Four Digits of Acct #:

Swiss Invest Corp, Ltd

Name of Transferor

Court Claim # (if known): 46335
Amount of Claim: \$2,270,569.23
Date Claim Filed: 10/26/2009

Phone: +44 207 712 7777

Last Four Digits of Acct #:

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Robert Southey
Transferee/Transferee's Agent

Date: 4 July 2017

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT



For value received, the adequacy and sufficiency of which are hereby acknowledged, **SWISS INVEST CORP LTD**, Admirals Way, Beaufort Court, London, E14 9XL, United Kingdom ("Transferor") unconditionally and irrevocably transferred to **SOUTHEY CAPITAL LTD**, with main business address Suite 17, Ensign House, 2 Admirals Way, London, E14 9 XQ, UNITED KINGDOM ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 46335) in the nominal amounts specified in schedule 1 related to the securities with the International Securities Identification Numbers listed in Schedule 1 hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555(JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to the Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to the Transferor regarding the transfer of the foregoing claim and recognising the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED THIS
____ JUNE 2017.

SWISS INVEST CORP LTD
LONDON

Transferor
By:
Name:
Title:

P. Leliert
P. LELIERT
DIRECTOR

SOUTHEY CAPITAL LTD

Transferee
By:
Name:
Title:

Robert Southey
Robert Southey
Director, Southey Capital Ltd

EVIDENCE OF TRANSFER OF CLAIM

SCHEDULE 1

<u>ISIN</u>	<u>Court Claim#</u>	<u>Date Claim Filed</u>	<u>Issuer</u>	<u>Units/Amount of Claim Related to Security</u>
XS0345445737	46355	26 October 2009	Lehman Brothers Treasury B.V.	EUR 1,600,000.00